



ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

#4 03 C
Docket No.: 209.1001GAU2

Date: August 7, 2001

In re application of: Stuart d. BAKER, et al.
Serial No.: 09/825,431
Filed: April 3, 2001
For: PRIVILEGED COMMUNICATION SYSTEM WITH ROUTING CONTROLS

Sir:

Transmitted herewith is a **Information Disclosure Statement** in the above-identified application.

- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.
☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.
☒ No fee for additional claims is required.
☐ A filing fee for additional claims calculated as shown below, is required:

		(Col. 1)	(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
FOR:		REMAINING	HIGHEST	RATE	FEE		RATE	FEE
		AFTER	PREVIOUSLY					
		AMENDMENT	PAID FOR					
			EXTRA					
TOTAL CLAIMS	*	Minus**	=	0			x \$ 9	\$ 18
INDEP. CLAIMS	*	Minus***	=	0			x \$ 40	\$ 80
[] FIRST PRESENTATION OF MULTIPLE DEP. CLAIM							+ \$ 135	\$ 270

TOTAL: \$ OR TOTAL: \$

- * If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.
 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- ☒ Also transmitted herewith are:
☐ Petition for extension under 37 C.F.R. 1.136 (in duplicate)
☒ Other: **Form PTO 1449 and Copies of References Cited**
- ☐ Check(s) in the amount of \$**.00** is/are attached to cover:
☐ Filing fee for additional claims under 37 C.F.R. 1.16
☐ Petition fee for extension under 37 C.F.R. 1.136
☐ Other:
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

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209.1001

UNITED STATES PATENT & TRADEMARK OFFICE

Re: Application of: Stuart D. BAKER, et al.
Serial No.: 09/825,431
Filed: April 3, 2001
For: **PRIVILEGED COMMUNICATION SYSTEM WITH
ROUTING CONTROLS**

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

August 7, 2001

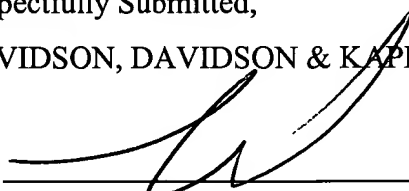
Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicant hereby makes of record the documents listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

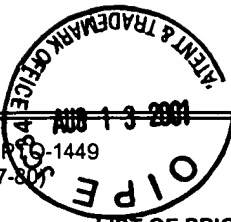
As these references are duplicate copies of references made of record before the issuance of a first office action on the merits, it is believed that no fee is due.

It is respectfully requested that the references cited in the accompanying PTO-1449 form be considered and made of record. It is respectfully submitted that the pending claims are patentable over all of the references made of record at this time.

Respectfully Submitted,
DAVIDSON, DAVIDSON & KAPPEL,

By: 
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 FORM PTO-1449
(REV. 7-80)
U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.:
209.1001

SERIAL NO.: 09/825,431

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT(S): Stuart D. BAKER, et al.

FILING DATE: 4/3/2001

GROUP:

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5	8	9	2	9	0	0	4/6/1999	Ginter et al.	395	186	8/30/1996
	AB	5	9	1	0	9	8	7	6/8/1999	Ginter et al.	380	24	12/4/1996
	AC	5	9	1	5	0	1	9	6/22/1999	Ginter et al.	380	4	1/8/1997
	AD	5	9	1	7	9	1	2	6/29/1999	Ginter et al.	380	24	1/8/1997
	AE	5	9	4	9	8	7	6	9/7/1999	Ginter et al.	380	4	1/8/1997
	AF	5	9	8	2	8	9	1	11/9/1999	Ginter et al.	380	4	11/4/1997
	AG	6	2	3	7	7	8	6	5/29/2001	Ginter et al.	213	153	6/17/1999
	AH	6	2	5	3	1	9	3	6/26/2001	Ginter et al.	705	57	12/9/1998
	AI	6	2	6	3	3	4	0	7/17/2001	Green	707	102	10/20/1998
	AJ												
	AK												

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	AL													
	AM													
	AN													
	AO													
	AP													

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and note this form with next communication to applicant.